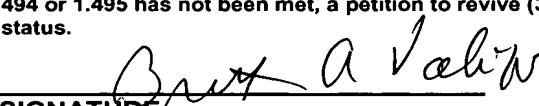


FORM PTO-1390 REV. 5-93		US DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEYS DOCKET NUMBER
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>		<b>P05,0153</b>	
		U.S.APPLICATION NO. (if known, see 37 CFR 1.5)	
		<b>10/532,870</b>	
INTERNATIONAL APPLICATION NO. <b>PCT/EP2003/012106</b>	INTERNATIONAL FILING DATE <b>30 October 2003</b>	PRIORITY DATE CLAIMED <b>31 October 2002</b>	
<b>TITLE OF INVENTION</b> <b>METHOD, COMPUTER PROGRAM PRODUCT AND DEVICE FOR THE PROCESSING OF A DOCUMENT DATA STREAM FROM AN INPUT FORMAT TO AN OUTPUT FORMAT (As Amended)</b>			
<b>APPLICANT(S) FOR DO/EO/US</b> <b>Hartwig SCHWIER, Werner ENGBROCKS, Klaus HIRTENREITER, Georg LANDMESSER and Matthias FROMM</b>			
<p>Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <input type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <input checked="" type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay.</li> <li>4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <input type="checkbox"/> A copy of International Application as filed (35 U.S.C. 371(c)(2)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> has been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> </ul> </li> <li>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3)) <ul style="list-style-type: none"> <li>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</li> <li>b. <input type="checkbox"/> have been transmitted by the International Bureau.</li> <li>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</li> <li>d. <input type="checkbox"/> have not been made and will not be made.</li> </ul> </li> <li>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). <b>EXECUTED DECLARATION</b></li> <li>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p><b>Items 11. to 16. below concern other document(s) or information included:</b></p> <ol style="list-style-type: none"> <li>11. <input type="checkbox"/> A Supplemental Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98; (<b>PTO 1449, Prior Art, Search Report</b>).</li> <li>12. <input checked="" type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. 3.28 and 3.31 is included.</li> <li>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment. <input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</li> <li>14. <input type="checkbox"/> A substitute specification.</li> <li>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</li> <li>16. <input checked="" type="checkbox"/> Other items or information: <ul style="list-style-type: none"> <li>a. <input checked="" type="checkbox"/> <b>EXPRESS MAIL #EV 6357870re US June 16, 2006.</b></li> <li>b. <input type="checkbox"/></li> <li>c. <input type="checkbox"/></li> </ul> </li> </ol>			

U.S.APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/532,870	INTERNATIONAL APPLICATION NO. PCT/EP2003/012106	ATTORNEY'S DOCKET NUMBER P05,0153			
17. <input type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 C.F.R. 1.492(a)(1)-(5):</b> Search Report has been prepared by the EPO or JPO ..... \$900.00 (Includes National Stage, Search, and Examination Fees)		CALCULATIONS			
		PTO USE ONLY			
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>		\$130.00			
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input checked="" type="checkbox"/> 30 months from the earliest claimed priority date (37 C.F.R. 1.492(e)).		\$130.00			
Claims	Number Filed	Number Extra	Rate		
Total Claims		0	X \$50.00	\$	
Independent Claims		0	X \$200.00	\$	
Multiple Dependent Claims		\$300.00 +			
<b>TOTAL OF ABOVE CALCULATIONS =</b>		\$130.00			
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 C.F.R. 1.9, 1.27, 1.28)					
<b>SUBTOTAL =</b>		\$130.00			
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		+			
<b>TOTAL NATIONAL FEE =</b>		\$130.00			
Fee for recording the enclosed assignment (37 C.F.R. 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. 3.28, 3.31). \$40.00 per property		+			
<b>TOTAL FEES ENCLOSED =</b>		\$130.00			
		Amount to be refunded			
		charged			
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$130.00</u> to cover the above fees is enclosed.					
b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>501519</u> . A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 C.F.R. 1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO:					
 <b>SCHIFF HARDIN LLP</b> <b>Patent Department</b> <b>6600 Sears Tower</b> <b>Chicago, Illinois 60606-6473</b> <b>Customer Number 26574</b>					
<b>SIGNATURE</b> <u>Brett A. Valiquet</u> <b>NAME</b> <u>27,841</u> <b>Registration Number</b> <u></u>					

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
[www.uspto.gov](http://www.uspto.gov)

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/532,870	Hartwig Schwier	P05,0153

26574  
 SCHIFF HARDIN, LLP  
 PATENT DEPARTMENT  
 6600 SEARS TOWER  
 CHICAGO, IL 60606-6473

INTERNATIONAL APPLICATION NO.

PCT/EP03/12106

I.A. FILING DATE	PRIORITY DATE
10/30/2003	10/31/2002

**CONFIRMATION NO. 2241**

**371 FORMALITIES LETTER**



\*OC000000018935653\*

Date Mailed: 05/25/2006

**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/27/2005
- English Translation of the IA filed on 04/27/2005
- Copy of the International Search Report filed on 04/27/2005
- Preliminary Amendments filed on 04/27/2005
- Information Disclosure Statements filed on 04/27/2005
- Oath or Declaration filed on 04/27/2005
- Request for Immediate Examination filed on 04/27/2005
- U.S. Basic National Fees filed on 04/27/2005
- Priority Documents filed on 04/27/2005
- Specification filed on 04/27/2005
- Claims filed on 04/27/2005
- Drawings filed on 04/27/2005

**RECEIVED**

JUN 9 2006

**SCHIFF HARDIN LLP**

**U.S. PATENT DEPT.**

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the

missing items identified in this letter.

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

KAYA L LEWIS BALTIMORE

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Telephone: (703) 308-9140 EXT 202

**PART 1 - ATTORNEY/APPLICANT COPY**

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U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/532,870	PCT/EP03/12106	P05,0153

FORM PCT/DO/EO/905 (371 Formalities Notice)

**CERTIFICATION OF MAILING**

"Express Mail" Mailing Label Number **EV 635787054 US**

Date of Deposit: June 16, 2006

I hereby certify that this correspondence is being deposited with the United States Postal "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Commissioner for Patents, **MAIL STOP PCT**, P.O. Box 1450, Alexandria VA 22313-1450.

**Hartwig SCHWIER et al**

PCT Application entitled: **METHOD, COMPUTER PROGRAM PRODUCT AND DEVICE FOR THE PROCESSING OF A DOCUMENT DATA STREAM FROM AN INPUT FORMAT TO AN OUTPUT FORMAT (As Amended)**

Our Case No. P05,0153 (26970-0374)

Transmittal Form in Duplicate

Executed Declaration

Copy of Notice

Executed Assignment – Separate Envelope – Form 1595 - \$40.00

Postcard

\$130.00

Signature of person mailing application and fee

  
CH1\4314971.1